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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/720,300	04/09/2001	Hans R. Brunner	SSM-487US	2492
23122	7590	10/19/2004		
RATNERPRESTIA P O BOX 980 VALLEY FORGE, PA 19482-0980			EXAMINER OROPEZA, FRANCES P	
			ART UNIT 3762	PAPER NUMBER

DATE MAILED: 10/19/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/720,300

Applicant(s)

BRUNNER ET AL.

Examiner

Frances P. Oropeza

Art Unit

3762

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 7/19/2004 (Response/ Amendment).
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-12, 14-18, 23, 24 and 27-34 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12, 14-18, 23, 24 and 27-34 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 19 July 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)             | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

## **DETAILED ACTION**

### ***Prior Art Search***

1. During the art search, prior art was discovered that reads on the previously allowed subject matter, hence a new rejection of record is established in the subsequent paragraphs.

### ***Claim Rejections - 35 USC § 112***

2. Claims 28 and 29 are rejected under 35 U.S.C. 112, second paragraph as being indefinite for failing to particularly point out and distinctly claim the subject matter which the Applicant regards as the invention.

In claims 28 and 29 the phrase "overpressure is 60 mm Hg or below" is indefinite. A phrase such as --overpressure is in the range of 60 mm Hg to 25 mm Hg-- is suggested to reflect the intent of the limitation and avoid the rejection. Appropriate correction is required.

### ***Claim Rejections - 35 USC § 103***

3. Claims 31-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gardner et al. (US 5634889) in view of Barak et al. (US 6494852). Gardner et al. disclose a medical appliance for intermittently pulsed compression of proximal joint and adjacent tissue of the human body to stimulate venous flow of the blood from the extremities.

As related to claim 1 and 31-34, the readily portable medical appliance for intermittent compression of human extremities, includes a cuff (14) with a single chamber (15) and a miniature pressure generator (21) (figure 1 and 1A; col. 1 @ 35-46; col. 3 @ 2-12 and 53-56). The width of the cuff in the direction of return is sized to be a rectangular or trapezoidal shape

Art Unit: 3762

with lateral edges that converge producing lapped engagement to enclose a knee or elbow. A cuff that would converge as described to encircle a knee or elbow would be at most 25 centimeters (figures 1, 1A and 4; col. 4 @ 12-36).

As to the cuff pressure, the cuff pressure is selective and ranges between atmospheric/deflated pressure levels to 200 mm Hg with a suggested peak pressure of at least 75 mm Hg (col. 3 @ 56-61; col. 3 @ 66 – col. 4 @ 5), read to be inclusive of the ranges of 20 mm Hg to 100 mm Hg, and 25 mm Hg to 80 mm Hg. The cuff inherently corresponds to a cuff used for blood pressure measurements, these cuff known in the art to operate at about 60 mm Hg. (See cited references) .

As related to claim 7, the controller actuates a pressure generator (21) to pressurize the cuff with a defined pressure amplitude and a defined repetition frequency (col. 3 @ 53 – col. 4 @ 8).

As related to claim 8, selective control of the pump enables the peak pressure, read as amplitude and the intervals between successive pulses, read as the repetition frequency to be varied (col. 3 @ 66 – col. 4 @ 8).

As related to claim 10, the chamber is filled at least every 20 seconds or three times a minute (col. 3 @ 66 – col. 4 @ 5).

As related to claim 11, the chamber is filled at least every 20 seconds or 15 times in five minutes (col. 3 @ 66 – col. 4 @ 5).

As related to claim 12, the cuff and pump can be uncoupled when the inlet 19 is disconnected from the pump and associated conduit (figure 1 and 1A and col. 3 @ 53-53).

As related to claim 13, the readily portable medical appliance for stimulating flow of body fluids, includes a cuff (14) with a single chamber (15) and a miniature pressure generator (21) (figure 1 and 1A; col. 1 @ 35-46; col. 3 @ 2-12 and 53-56). The width of the cuff in the direction of return is sized to be a rectangular or trapezoidal shape with lateral edges that converge producing lapped engagement to enclose a knee or elbow. A cuff that would converge as described to encircle a knee or elbow would be at most 25 centimeters (figures 1, 1A and 4; col. 4 @ 12-36).

As related to claim 14, the method to use the readily portable medical appliance for stimulating the flow of body fluid, includes applying a cuff (14) with a single chamber (15) to an extremity and intermittently pressurizing the cuff using a miniature pressure generator (21) (figure 1 and 1A; col. 1 @ 35-46; col. 3 @ 2-12 and 53-56). The width of the cuff in the direction of return is sized to be a rectangular or trapezoidal shape with lateral edges that converge producing lapped engagement to enclose a knee or elbow. A cuff that would converge as described to encircle a knee or elbow would be at most 25 centimeters (figures 1, 1A and 4; col. 4 @ 12-36).

As related to claim 15, the controller actuates a pressure generator (21) to pressurize the cuff with a defined pressure amplitude and a defined repetition frequency (col. 3 @ 53 – col. 4 @ 8).

As related to claim 16, selective control of the pump enables the peak pressure, read as amplitude and the intervals between successive pulses, read as the repetition frequency to be varied (col. 3 @ 66 – col. 4 @ 8).

As related to claim 17, the chamber is filled at least every 20 seconds or three times a minute (col. 3 @ 66 – col. 4 @ 5).

As related to claim 18, the chamber is filled at least every 20 seconds or 15 times in five minutes (col. 3 @ 66 – col. 4 @ 5).

As discussed in previous fourteen paragraphs of this action, Gardner et al. disclose the claimed invention except for the generator being secured to clothing/ secured to the body (claims 1, 13, 14, 21, 22 and 31-34) and the cuff is attached to the calf (claim 30).

Barak et al. teaches a calf wrap for pneumatic compression using a miniature pressure generator secured to clothing, a belt, and hence to the body for the purpose enabling the patient to gain uninterrupted compression treatments while enjoying freedom of movement. It would have been obvious to one having ordinary skill in the art at the time of the invention to have secured a miniature pressure generator to clothing or the body to compress the calf in the Gardner et al. system in order to create a flexible, comfortable, light-weight system that accommodates the need for movement while enabling consistent compression treatments to the calf of the body so the treated condition improves as rapidly as possible and is effectively maintained at an optimum level (figure 1; col. 2 @ 19-29 and 41-65).

4. Claims 1, 2, 9-12, 14-18 and 27-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gardner et al. (US 5634889) in view of Barak et al. (US 6494852) and further in view of Mori et al. (US 6547741). As discussed in paragraph 3 of this action, modified

Gardner et al. disclose the claimed invention except for the pressure generator being secured to the cuff.

Mori et al. disclose a pressure cuff device and teach that it is known to secure the pressure generator to the cuff so the pump/ controller is easily attached to the patient. Therefore it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the medical appliance as taught by modified Gardner et al., with the pressure generator secured to the cuff, as taught by Mori et al. to provide for ease of handling and use by the patient (figures 1, 2; col. 2 @ 41-43 and 50-52; col. 4 @ 56-62).

5. Claim 3 is rejected under 35 U.S.C. 103(a) as being unpatentable over Gardner et al. (US 5634889) in view of Barak et al. (US 6494852) and further in view of Mori et al. (US 6547741) and further in view of Raines et al. (US 6152881). As discussed in paragraphs 3 and 4 of this action, modified Gardner et al. disclose the claimed invention except for the pressure generator being a roller pump.

Raines et al. disclose a method to characterize blood flow using a blood pressure cuff and teach that it is known to pressurize the cuff using a positive displacement pump (101) (figure 4 and col. 15 @ 1-6). A roller pump is a type of positive displacement pump. Absent any teaching of criticality or unexpected results for the specific type of pump used, substitution of a positive displacement pump for a roller pump would have been an obvious design choice. Therefore it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the medical appliance as taught by modified Gardner et al., with the roller pump,

as taught by Raines et al. to specify a type of pump known in the art that effectively pressurizes a blood pressure cuff.

6. Claims 4-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gardner et al. (US 5634889) in view of Barak et al. (US 6494852) and further in view of Mori et al. (US 6547741) and further in view of Harada et al. (US 4928701). As discussed in paragraphs 3 and 4 of this action, modified Gardner et al. disclose the claimed invention except for:

- a pressure control means to connect the cuff to the atmosphere when the cuff is overpressured (claim 4),
- the pressure control means comprising an outlet valve / overpressure outlet forming an overpressure outlet (claim 5),
- the pressure control means comprising a restrictor in a conduit and a stopper as a function of the pressure in the inlet and outlet of the restrictor (claim 6), and
- a controller which switches the generator ON/OFF to pressurize the cuff (claim 7).

Harada et al. disclose a method and apparatus for monitoring blood pressure and teach that it is known to provide a controller that switches the generator ON/OFF to pressurize the cuff and to provide a pressure control means that contains an outlet valve / overpressure outlet, a restrictor in a conduit, and a stopper so the cuff is connected to the atmosphere when the cuff is overpressured. Therefore it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the medical appliance as taught by modified Gardner et al., with the following elements as taught by Harada et al.:



Art Unit: 3762

- a central processing unit (24), read as a component of the pressure control means, to connect the cuff (10) to the atmosphere when the cuff (10) is overpressured, read as when the pressure exceeds the peak pressure or the time period for inflation is exceeded (claim 4) to enable the pressure in the cuff to be rapid removed from the cuff preventing harm to the patient, (figure 1; col. 6 @ 7-10 and 16-25),
- an additional pressure control means component, a rapid deflation port (16b), read as the outlet valve / overpressure outlet (claim 5), to enable the pressure in the cuff to be rapid removed from the cuff preventing harm to the patient, (figure 1; col. 6 @ 7-10),
- further pressure control means components: a directional control valve (16), read as the restrictor, in a conduit (19) and the position selector in the control valve (16), read as a stopper (claim 6) to enable the selection by the controller to inflate or deflate the cuff (col. 5 @ 57 – col. 6 @ 10; col. 6 @ 16-25) and
- a controller (24) which switches the generator ON/OFF to pressurize the cuff (claim 7) to enable the cuff to be inflated and deflated (col. 6 @ 16-25) .

7. Claims 23 and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gardner et al. (US 5634889) in view of Barak et al. (US 6494852) and further in view of Mori et al. (US 6547741) and further in view of Castro et al. (US 3752147). As discussed in paragraphs 3 and 4 of this action, modified Gardner et al. disclose the claimed invention except for the pressure generator being secured to the cuff by a hook and loop fastener (claim 23) or a pouch (claim 24).

Castro et al. disclose an inflatable pressure cuff device and teach that it is known to use an affixing unit (15), a pocket or a hook and loop fastener (Velcro – TM), to secure the device components, or in the instant invention the pressure generator, to the cuff. Therefore it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the medical appliance as taught by modified Gardner et al., with the pressure generator secured to the cuff by a pocket or a hook and loop fastener, as taught by Castro et al. to provide a compact secured unit for ease of handling and use (figures 1; col. 2 @ 16-22).

#### ***Statutory Basis***

8. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

#### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Fran Oropeza, telephone number is (703) 605-4355. The Examiner can normally be reached on Monday – Friday from 9 a.m. to 5:30 p.m.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's Supervisor, Angela D. Sykes can be reached on (703) 308-5181. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306 for regular communication and for After Final communications.

Art Unit: 3762

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Receptionist, telephone number is (703) 308-0858.

Frances P. Oropeza  
Patent Examiner  
Art Unit 3762

*FPO*  
*10/13/04*

*Angela D. Sykes*

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